

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 In re Application of
 Roscoe et al.

Serial No.: Herewith

Filed: Herewith

For: METHOD AND SYSTEM
 FOR TRACKING,
 RECONCILING AND
 ADMINISTERING FEES
 AND COSTS IN
 INVESTMENT-BASED
 VARIABLE LIFE
 INSURANCE VALUE

Group Art Unit: TBA
 Examiner: TBA

#4

11011 U.S. PTO
 09/891976
 06/26/01

 Assistant Commissioner for Patents
 Washington, D. C. 20231

SIR:

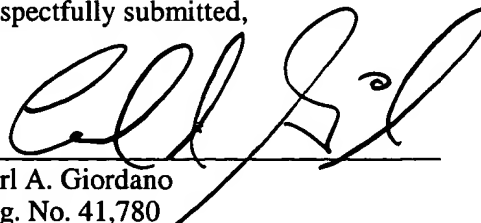
INFORMATION DISCLOSURE STATEMENT

In accordance with the rules relating to the duty of disclosure, 37 CFR §1.56 and §1.97,
 Applicant submits herewith Form PTO-1449 and copies of the references listed therein.

Applicant believes that no fee is due at this time. If, however, a fee is deemed due, authority
 is hereby given to charge such fee to Deposit Account No. 04-1679.

Consideration and entry of these references is respectfully requested.

Respectfully submitted,


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June 26, 2001

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INFORMATION DISCLOSURE CITATION

Sheet 1 of 1
Docket No. Hartford-4
Serial No. Herewith
Applicant: Roscoe et al.
Filing Date: Herewith Group: TBA

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS *	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
A	5,926,792	7/20/99	Koppes et al.			9/18/98
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIALS *	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES / NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.</p>						

11011 U.S. PTO
19/891978
06/26/01